

## PRIVACY NOTICE

### A Commitment to Your Privacy

Our most important asset is our relationship with you. We are honored that you have entrusted us with your financial affairs and we are committed to safeguarding the privacy of the information we receive and maintain about you. Establishing and adhering to an effective privacy policy is an important part of that dedication.

This document provides details about the commitment of Evercore Trust Company, N.A. ("ETC"), Evercore Trust Company of Delaware ("ETCDE") and Evercore Wealth Management, LLC ("EWM" or collectively, "ETC", "We", "Us" and, together with our affiliates, "Evercore") to protecting your non-public personal information, and provides information about our privacy policies, including the types of information we collect about you, how we use and share that information both within and outside Evercore, and how you can instruct us to limit certain types of information sharing.

Our privacy policy applies to all clients with whom we have a relationship and also extends to our former clients.

### Your Privacy Is Not for Sale

Simply put, we do not and will not sell your personal information to anyone, for any reason, at any time.

### How We Collect Information

We collect various types of information in a number of ways. Primarily, we collect information about you when you open an account or request one of our services. We also collect information to verify your identity in the account opening process, including, for example, your name, address, phone number, email address, Social Security number and date of birth, as well as details about your investments, source of wealth and investment experience.

Once you have opened an account with us, we collect and maintain information about your account activity, including your transactions, balances, account positions and account history. This information allows us to administer your account and provide the services you have requested.

We may also collect information from you through automated means. When you browse our websites, use our online client portal, or read our emails, we may use "cookies" or other online tracking mechanisms in order to provide better service, facilitate your use of our websites or online client portal, store online preferences, track usage, or enhance security.

### How We Share Information Within Evercore

Evercore consists of a number of brokerage, investment advisory and related companies ("affiliates"). Many clients do business or have relationships with more than one Evercore affiliate.

ETC, ETCDE, and EWM are indirect subsidiaries of Evercore Partners, Inc. ETC, ETCDE and EWM share information about you with each other, and with other Evercore affiliates to make it easy for you to interact with us and to help provide you with better service or perform services on your behalf; however we do not share information about you with our affiliates for marketing purposes, unless you instruct us to do so.

### How We Share Information Outside of Evercore

Evercore provides access to information about you to outside companies and other third parties in certain limited circumstances and in accordance with applicable law, including:

- to help us process transactions for your account;
- when you direct us to, for example, you may request that we send information to a family member or other third party;
- when we use another company to provide services for us, such as website hosting, printing and mailing your account statements; and
- when disclosure is required under applicable laws and regulations, for example, to cooperate with regulatory or law enforcement authorities.

### How to Limit the Sharing of Information

You may choose to limit some of the information we share about you with affiliates, such as that used for marketing purposes, by contacting your client relationship officer.

Your choice will be applied to you as an individual and will automatically be extended to all of your accounts with us. Joint account holders may instruct us on behalf of another account holder. You may make your privacy choice at any time and it will remain in effect until you change it.

If you choose to limit information sharing, we may continue to share information with our affiliates that identifies you (such as your name and Social Security number), as well as information about your transactions and experiences with us. In addition, our affiliates may continue to use information they receive from us to perform services on our behalf, to respond to communications from you as you authorize or request, or, if you are also a client of an affiliate, to offer you products or services. We may also continue to share information about you with outside companies as permitted or required by law.

### Safeguarding Your Information

We take precautions to ensure the information we collect about you is protected and is accessed only by authorized individuals or organizations.

Companies we use to provide support services are not allowed to use information about our clients for their own purposes and are contractually obligated to maintain strict confidentiality. We limit their use of information to the performance of the specific services we have requested.

We restrict access to non-public personal information by our employees and agents. Our employees are trained about privacy and in accordance with our policies and procedures, are required to safeguard non-public personal information.

We maintain physical, electronic and procedural safeguards to protect non-public personal information.

### **State Laws**

We will comply with state laws that apply to the disclosure or use of information about you.

The following notice is required to be made under Nevada law to all clients with a Nevada mailing address. At any time, you may request to be placed on our internal “do not call” list. You may do so by calling or emailing your client relationship officer or mailing your request to the General Counsel, Evercore Trust Company, N.A. or Evercore Wealth Management, LLC, 55 East 52<sup>nd</sup> Street, New York, NY 10055. Clients from any state may also request to be placed on Evercore’s “do not call” list by calling or emailing their client relationship officer or mailing their request to the General Counsel at the above address. For more information about the Nevada “do not call” notice requirement, you may also contact the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone: 702-486-3132; Email [BCPINFO@ag.state.nv.us](mailto:BCPINFO@ag.state.nv.us)

### **Teaming Up Against Identity Theft**

Evercore takes steps to safeguard your information and help protect you from identity theft by:

- using client identification and authentication procedures before initiating transactions;
- creating a secure transmission connection to our websites that contain your account information; and
- ensuring our employees are trained to safeguard personal information about you.

You can also help protect your identity and accounts. Here are a few steps to remember:

- Never provide your account number, login password, or Social Security number on a non-secure website or in an unsolicited email communication;
- shred documents that contain personal information;
- check your credit report regularly for unauthorized activity and protect your personal identification numbers (PINs) and personal data.

### **Contact Us with Questions**

If you have any questions or concerns, please contact your client relationship officer.

### **Important Information About Procedures for Opening a New Account**

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: when you open an account, we will ask for your name, address, date of birth, taxpayer identification number and other information that will allow us to identify you. We may also ask to see your driver’s license or other identifying documents.

If you cannot provide us with documentation that is acceptable to us, or if we desire, we may independently verify any of the information you provide to us by use of non-documentary methods, such as through the use of public databases, by checking references with other financial institutions or by other means.

In addition to the above, and to ensure that we have appropriate documentation necessary to maintain your account, we may also ask you for additional information about your transactions and experiences, such as:

- Source of funds/ account purpose
- Occupation/ line of business
- Expected transaction volume and types
- Geographic locations
- Risk tolerances
- Types of products and services used
- Reason/ purpose for any transaction

We keep a copy of the documents you provide to us, or that we receive from outside sources, in our files. We will take care to preserve the confidentiality of all information and documentation we receive from you or concerning you in accordance with applicable law; however, we are obligated to provide a copy of such documentation to regulatory authorities upon request. This applies whether you become a client of Evercore or not, and applies after you are no longer a client of Evercore.

### **Accuracy Means Better Protection**

We are committed to keeping accurate, up-to-date records to help ensure the integrity of the information we maintain about you. If you identify an inaccuracy in any information we have about you, or if you need to make any changes, please contact your client relationship officer.

### **A Commitment to Keeping You Informed**

We will provide you with notice of any important changes to our information-sharing practices.